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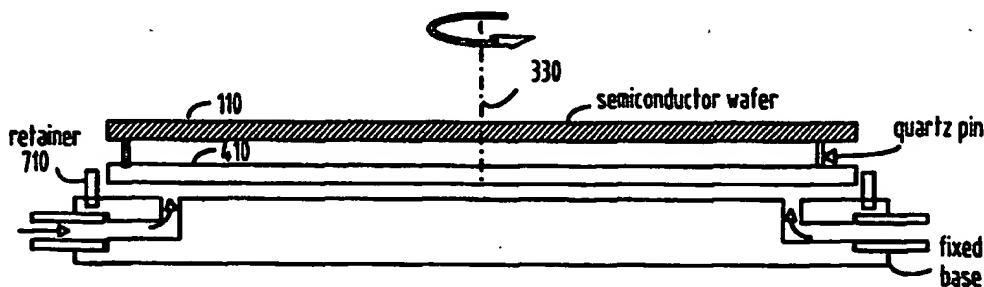
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(54) Title: RAPID THERMAL PROCESSING (RTP) SYSTEM WITH GAS DRIVEN ROTATING SUBSTRATE



(57) Abstract

An apparatus, method, and system for Rapid Thermal Processing (RTP), whereby the object to be processed is rotated under the radiation sources of the RTP system by a gas jet system, are presented.

has gas flow but not  
selectively control

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## Rapid Thermal Processing (RTP) System with Gas Driven Rotating Substrate

### FIELD OF THE INVENTION

The present invention relates to a system, apparatus, and method for more uniformly heating objects in a Rapid Thermal Processing (RTP) system. More specifically, the present invention discloses a convenient, inexpensive way to rotate semiconductor wafers treated in such system.

### BACKGROUND OF THE INVENTION

The major problem faced by the field of RTP has been the uniformity of heating of the semiconductor wafers treated in the RTP systems. RTP systems generally have a chamber with at least one wall transparent to radiation from sources of radiation such as lamps. The object to be processed is placed in the chamber and irradiated with radiation from the radiation source so that the object is heated. The chamber with the transparent wall is not strictly necessary in the system, provided that the system controls the atmosphere in which the object is placed during processing. The lamps could then be placed in proximity to the object without the intervening window. Much progress has been made in using batteries of lamps with individual control of each lamp to increase uniformity of the illuminating radiation. However, the uniformity of the resulting material is not sufficient for present and future demands from the industry.

One way to increase the uniformity of result in such systems is to rotate the substrate under the lamps. Many prior art systems have been published to effect this rotation. However, these many systems generally used only one bank of lamps on one side of the semiconductor wafer. The other side of the wafer could then be used for various shafts which penetrated through the chamber walls to mechanically rotate the wafer with respect to the lamps. The prior art is deficient in that the systems are expensive and difficult to seal. The prior art systems also allow contaminants scrubbed from the

1 relatively moving parts to contaminate the chamber. The prior art systems can not be  
used with banks of lights on either side of the wafer since the shaft, the rotating base  
holding the wafer, and the fittings necessary to allow the shaft to rotate with respect to  
5 the chamber block or otherwise interfere with light from the bank on the same side of  
the wafer as the shaft, and the resulting light impinging on the wafer is no longer uni-  
form.

#### RELATED APPLICATIONS

10 Reactors based on the RTP principle often have the entire cross section of one end of the  
reactor chamber open during the wafer handling process. This construction has been  
established because the various wafer holders, guard rings, and gas distribution plates,  
15 which have significantly greater dimensions and may be thicker than the wafers, must  
also be introduced into the chamber and must be easily and quickly changed when the  
process is changed or when different wafer sizes, for example, are used. The reaction  
chamber dimensions are designed with these ancillary pieces in mind. US Patent  
20 5,580,830, assigned to the assignee of the present invention, hereby incorporated by  
reference, teaches the importance of the gas flow and the use of an aperture in the door

to regulate gas flow and control impurities in the process chamber.

25 The importance of measuring the temperature of the wafer using a pyrometer of very  
broad spectral response is taught in U. S. Patent 5,628, 564, which is assigned to the  
assignee of the present invention and is hereby incorporated by reference.

The wafer to be heated in a conventional RTP system typically rests on a plurality of  
quartz pins which hold the wafer accurately parallel to the reflector walls of the system.

30 Prior art systems have rested the wafer on an instrumented susceptor, typically a uni-  
form silicon wafer. Copending patent application 08/537,409, assigned to the assignee  
of the present invention, hereby incorporated by reference, teaches the importance  
susceptor plates separated from the wafer.

35 Rapid thermal processing of III-IV semiconductors has not been as successful as RTP of  
silicon. One reason for this is that the surface has a relatively high vapor pressure of, for

1 example, arsenic (As) in the case of gallium arsenide ( GaAs ). The surface region  
becomes depleted of As, and the material quality suffers. Copending patent application  
08/631,265, assigned to the assignee of the present invention, hereby incorporated by  
5 reference, supplies a method and apparatus for overcoming this problem.

A method of raising the emissivity of a lightly doped, relatively low temperature wafer  
by locally heating the wafer with a pulse of light is disclosed in copending application  
08/632,364, which is assigned to the assignee of the present invention and hereby in-  
10 corporated by reference.

A method, apparatus, and system for RTP of a rotating object is disclosed in copending  
application 08/953,590, filed Oct. 17, 1997, by Lerch et al., which is assigned to the  
assignee of the present invention and hereby incorporated by reference.

## 15 SUMMARY OF THE INVENTION

According to this invention, the object to be processed in an RTP system is rotated by  
impinging a gas flow on either the object or on a rotatable substrate holding the object.

20 The same or another gas flow may be used as an air bearing to support the object or the  
substrate while the object or substrate is being rotated by the gas flow. Auxiliary gas jets  
may be used to accelerate and/or to decelerate the rotation of the object or the substrate  
carrying the object. The gas flow may be inert, or it may contain chemicals which react  
25 with the object to be processed, or it may be a gas which reacts or decomposes to create  
a layer on an exposed surface of the object to be processed.

## BRIEF DESCRIPTION OF THE DRAWINGS

- 30 Fig. 1 shows a prior art RTP processing system.  
Fig. 2 shows a prior art rotating base holding a wafer 110.  
Fig. 3 shows a diagram of the apparatus of the invention.  
Fig. 4 shows a more preferred embodiment of the invention.  
35 Fig. 5 shows a rotatable substrate.

- 1      Fig. 6      shows a sketch of a fixed base which is shaped so that the pressure of the  
                         flowing gas both supports and centers the wafer.
- Fig. 7      shows a substrate holding a wafer where the substrate is constrained to  
5                   rotate about an axis by retainer members.
- Fig. 8      shows a plan view of a base for supporting a rotatable wafer.
- Fig. 9      shows an elevation of the base with a notch cut to allow a robot pan to  
                         bring in and deposit a wafer.
- 10      Fig. 10     shows a robot pan carrying a wafer entering the chamber and holding the  
                         wafer above a base.
- Fig. 11     shows that the robot pan has lowered the wafer on to the surface of the  
                         base.
- 15      Fig. 12     shows that the robot pan has withdrawn, and that the flowing gas has  
                         levitated the wafer above the surface of the base, and that the wafer is  
                         rotating about the axis.
- Fig. 13a    shows a sketch of a plan view of a prototype setup an apparatus of the  
                         invention.
- 20      Fig. 13b    shows a sketch of an elevation view of a prototype setup an apparatus of  
                         the invention.
- Fig. 14     shows an expanded view of the air bearing X of fig. 13a-b.
- Fig. 15     shows an elevation view Y of the shaft and bearing arrangement of fig.  
25                   13a-b.
- Fig. 16     is an expanded plan view of an air bearing of fig. 14.
- Fig. 17     is an elevation view of a cross section of fig. 16 taken along the line A -  
                         A' of fig. 16.
- 30      Fig. 18a    shows an elevation view of an alternative bearing for centering the  
                         rotating substrate.
- Fig. 18b    shows a plan view of an alternative bearing for centering the rotating  
                         substrate.
- 35      Fig. 19a    shows an alternative embodiment where air bearings both support the  
                         substrate and ensure that the rotating substrate rotates about its axis.

1 Fig. 19b shows an elevation view of the air bearing of fig 19a.

## DETAILED DESCRIPTION OF THE INVENTION

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Fig. 1 shows a prior art RTP processing system. A semiconductor wafer 110 or other object to be processed is supported in a quartz RTP chamber 120 by quartz support pins 160 (only one shown). A guard ring 170 is used to lessen edge effects of radiation from the edge of the wafer 110. An end plate 190 seals to the chamber 120, and a door 180 allows entry of the wafer 110 and, when closed, allows the chamber to be sealed and a process gas 125 to be introduced into the chamber. Two banks of radiation sources 130 and 140 are shown on either side of the wafer 110. A computer 175 or other control means as are known in the art is used to control the lamps 130 and 140, and to control the gas flow controller 185, the door 180, and the temperature measuring system, denoted here as a pyrometer 165. The gas flow may be an inert gas which does not react with the wafer, or it may be a reactive gas such as oxygen or nitrogen which reacts with the material of the semiconductor wafer to form a layer of on the semiconductor wafer, or the gas flow may be a gas which reacts at the heated surface of the object being processed to form a layer on the heated surface without consuming any material from the surface of the object. When the gas flow reacts to form a layer on the surface, the process is called rapid thermal - chemical vapor deposition (RT-CVD). An electrical current may be run through the atmosphere in the RTP system to produce ions which are reactive with or at the surface, and to impart extra energy to the surface by bombarding the surface with energetic ions.

Fig. 2 shows a prior art rotating base 210 holding a wafer 110. The rotating base 210 is rotated by a shaft 220. In U.S. Patent 3,627,590, by W. Mammel, a gas is introduced into the space between the wafer 110 and the rotating base 210 to hold the wafer away from the base and reduce the heat transferred from the wafer 110 to the base 210. The gas flows radially outward from the shaft 220, and the gas does not rotate the wafer 110 with respect to the base 210.

1 Fig.3 shows a diagram of the apparatus of the invention. A base 310 fixed with respect  
to the RTP system has gas flow channels 320 which carry a flowing gas 340 which  
impinges on the wafer 110 at an angle, the angle such that the force operates on the  
5 wafer 110 to rotate the wafer 110 with respect the base 310. The wafer then may rotate  
with respect to the base around an axis 330. The flowing gas 340 also supports the wafer  
110 above the fixed base 310 in this case.

Fig. 4 shows a more preferred embodiment of the invention where the fixed base 310  
supports a rotatable substrate 410, and the rotatable substrate 410 supports the wafer

10 110 with quartz pins 160. The gas flow 340 impinging on the rotatable substrate 410  
causes the rotatable substrate 410 to rotate about axis 330. The flowing gas 340 also  
supports the rotatable substrate 410 above the fixed base 310, in this case.

15 Fig. 5 shows a rotatable substrate 410, where the substrate 410 is constrained to rotate  
about the axis 330 by a pin 510 fixed with respect to the base 310, the pin 510 fitting  
into a detent 520 in the rotatable substrate 410.

20 Fig. 6 shows a sketch of a fixed base 310 which is shaped so that the pressure of the  
flowing gas both supports and centers the wafer 110 when the wafer 110 is rotating  
about the axis 330. Fig. 6 shows a saucer shaped depression 610 in the base 310.

Fig. 7 shows a substrate holding a wafer, the substrate constrained to rotate about an  
axis 330 by retainer members 710.

25 Fig. 8 shows a plan view of a base 810 for supporting a rotatable wafer. The base  
contains gas flow channels 820 which exit the base 810 at an angle with respect to the  
surface 830 of the base 810 and perpendicular to the radius drawn from the center 840  
of the base 810 to the flow channel 820. The flowing gas then imparts angular  
momentum to the wafer 110 (not shown) when the wafer is supported by the flowing  
30 gas above the surface 830 of the base 810.

Fig. 9 shows an elevation of the base 810 with a notch 910 cut to allow a robot pan  
(shown later) to bring in and deposit a wafer (shown later) on to the surface 830 of the  
base 810.

35 Figs. 10-12 show a method of using the present invention. A robot pan 1010 carrying a  
wafer 110 enters the chamber and holds the wafer 110 above the base in Fig. 10. Fig. 11



1 shows that the robot pan has lowered the wafer 110 on to the surface 830 of the base  
810. Fig. 12 shows that the robot pan has withdrawn, and that the flowing gas has  
levitated the wafer 110 above the surface 830 of the base, and that the wafer 110 is  
5 rotating about the axis 330. The rotation is driven by the tangential velocity of the  
flowing gas. Light 1210 and 1220 is shown impinging on the wafer from above and  
below. The base 310 is preferably made of quartz, so that the light passes through the  
quartz and illuminates the wafer uniformly. Other materials, such as sapphire, which are  
transparent to the radiation from the radiation sources of the RTP system could equally  
10 well be used for the material of the base.

Fig. 13a-b show plan and elevation sketches of a prototype setup which has been used  
successfully to rotate a wafer in an AST SHS 3000 RTP system. Air bearings 1340  
support the substrate 1310 and impart tangential momentum to the substrate 1310. The  
15 air bearings with the angled air flow shown are sufficient to maintain rotational speed of  
the substrate, but the acceleration to the desired edge velocity of 100 feet per minute is  
not sufficient. In addition, the friction is so low that the substrate takes one minute to  
slow down in a separate experiment when the tangential flow is turned off while an air  
bearing flow is maintained. The substrate 1310 holding the wafer 110 has a scalloped  
20 edge 1305. Auxiliary gas lines 1320 and 1330 are optionally used to accelerate and de-  
celerate the substrate by impinging a gas flow on to the scalloped edge. The substrate  
may accelerate to the desired velocity and stop within 3 seconds when the auxiliary  
25 lines 1320 and 1330 are used. The substrate 1310 is constrained to rotate about its axis  
by a quartz shaft 1350 and quartz or sapphire ball bearing 1360 arrangement (shown  
later).

Fig. 14 shows an expanded view of the air bearing X of fig. 13a.

30 Fig. 15 shows an elevation view of region Y of fig. 13b of the shaft 1350 attached to the  
substrate 1310 rotating inside quartz bearings 1360 rotating inside a race 1510 fixed  
with respect to the base.

Fig. 16 is an expanded view of the air bearing shown in fig. 13a and fig. 14, with the  
35 slot 1610 for the gas flow exit outlined.

1 Figure 17 shows a cross section of the air bearing and gas jet assembly of fig 16 taken along the line A - A' of fig. 16.

Fig. 18a and fig. 18b show elevation and plan views of an alternative bearing for  
5 centering the rotating substrate. A shaft 1350 attached to the rotatable substrate 410 is surrounded by a closely fitting sleeve 1810. The gas pressure of the gas 1820 flowing into the enclosure between the shaft 1350 and the sleeve 1810 ensures that the shaft 1350 rotates freely in the sleeve 1810. The gas 1820 flowing out between the top of the sleeve 1810 and the substrate 410 may optionally support the substrate.

10 Figs. 19a and 19b show an alternative embodiment where air bearings 1910 both support the substrate and ensure that the rotating substrate rotates about its axis. Fig. 19b shows an elevation of the air bearing 1910 showing an air flow channel 1920 from which two air flow channels 1930 and 1940 separate to two separate gas bearing  
15 surfaces 1950 and 1960. The bearing surface 1950 supports the substrate, while the bearing surface 1960 bears against the edge of the substrate to center the substrate. A sliding nozzle 1970 is shown to allow for the expansion of the substrate. A semiconductor wafer may increase in diameter by millimeters when treated in an RTP system. A  
20 spring (not shown) may optionally be used to withdraw the sliding nozzle 1970 away from the substrate 410 when no gas is flowing to rotate the substrate.

The above identified U.S. patents and patent applications, and the references cited  
above, are hereby include herein by reference.

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1 We claim:

- 5 1. An apparatus for rapid thermal processing (RTP) of an object in an RTP system, comprising: a base, the base having at least one gas flow channel for impinging a gas flow on a rotatable substrate in the RTP system, the gas flow for rotating the substrate with respect to the base.
- 10 ~~2. The apparatus of claim 1, wherein a gas flow also supports the substrate.~~
3. The apparatus of claim 2, wherein the substrate is the object to be processed.
- 15 4. The apparatus of claim 3, wherein the object to be processed is a semiconductor wafer.
5. The apparatus of claim 4, wherein the semiconductor wafer is a silicon wafer.
- 20 6. The apparatus of claim 1, wherein the substrate is substantially constrained to rotate about an axis by a pressure distribution of flowing gas.
- 25 7. The apparatus of claim 6, wherein a gas flow also supports the substrate.
8. The apparatus of claim 7, wherein the base allows entry of a support pan supporting the substrate, the base allows deposition of the substrate on the base, and the base allows withdrawal of the support pan leaving the substrate supported by the base.
- 30 9. The apparatus of claim 1, wherein the substrate supports the object to be processed.
- 35 10. The apparatus of claim 9, wherein the object to be processed is a semiconductor wafer.

- 1 11. The apparatus of claim 10, wherein the semiconductor wafer is a silicon wafer.
12. The apparatus of claim 9, wherein a gas flow also supports the substrate.
- 5 13. The apparatus of claim 12, wherein the object to be processed is a semiconductor wafer.
- 10 14. The apparatus of claim 9, wherein the substrate is constrained to rotate about an axis by a pin and detent means.
- 15 15. The apparatus of claim 9, wherein the substrate is constrained to rotate about an axis by a retainer member fixed with respect to the base.
16. The apparatus of claim 9, wherein the substrate is constrained to rotate about an axis by a shaft and bearing means.
- 20 17. The apparatus of claim 16, wherein the bearing means is a gas pressure bearing.
- 25 18. The apparatus of claim 1, wherein the base is substantially transparent to radiation from radiation sources of the RTP system.
- 30 19. A method for rapid thermal processing (RTP), comprising: impinging a gas flow on a substrate in an RTP system to induce rotation of the substrate with respect to the RTP system.
20. The method of claim 19, further comprising a step of supporting the substrate with a gas flow during the step of impinging a gas flow on the substrate.
- 35 21. The method of claim 20, wherein the substrate is a semiconductor wafer.

- 1 22. The method of claim 21, wherein the semiconductor wafer is a silicon wafer.
- 5 23. The method of claim 19, further comprising a step of impinging a gas flow on the substrate to stop the rotation of the substrate after the step of impinging as gas flow to induce rotation.
- 10 24. The method of claim 19, wherein the substrate is constrained to rotate about an axis by a pressure distribution of flowing gas.
25. The method of claim 24, wherein a gas flow also supports the substrate.
- 15 26. The method of claim 25, wherein a base is fixed with respect to the RTP system, the base having gas flow channels for directing gas flows to support and rotate the substrate.
- 20 27. The method of claim 26, wherein the base allows entry of a support pan supporting the substrate, the base allows deposition of the substrate on the base, and the base allows withdrawal of the support pan leaving the substrate supported by the base.
- 25 28. The method of claim 19, wherein the substrate supports a semiconductor wafer.
- 30 29. The method of claim 28, further comprising the step of supporting the substrate with a gas flow during the step of impinging a gas flow on the substrate.
- 35 30. The method of claim 28, wherein the substrate is constrained to rotate about an axis by a pin and detent system.
31. The method of claim 28, wherein the substrate is constrained to rotate about an axis by fixed retainer members.

1 32. The method of claim 28, wherein the substrate is constrained to rotate about an axis  
by shaft and bearing means.

5 33. The method of claim 32, wherein the bearing means is a gas bearing.

34. A system for rapid thermal processing (RTP) of an object, comprising:

a radiation source for irradiating the object; an RTP chamber having at least a

10 ~~portion of at least one chamber wall transparent to the radiation from the radiation~~  
source;

a base, the base having at least one gas flow channel for impinging a gas flow on a  
rotatable substrate in the RTP chamber, the gas flow for rotating the substrate with  
respect to the base;

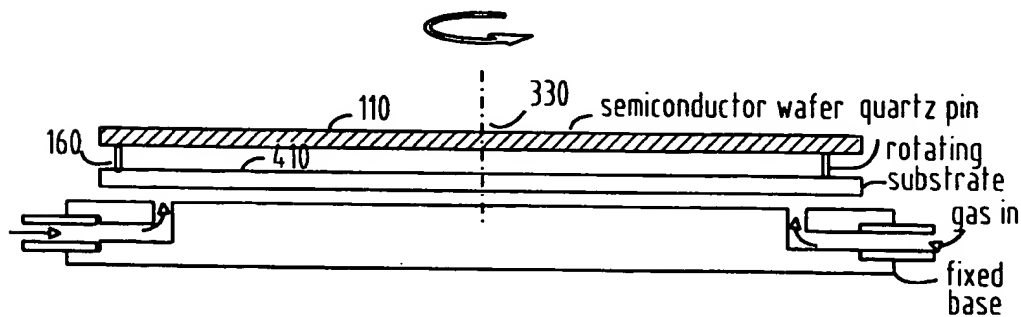
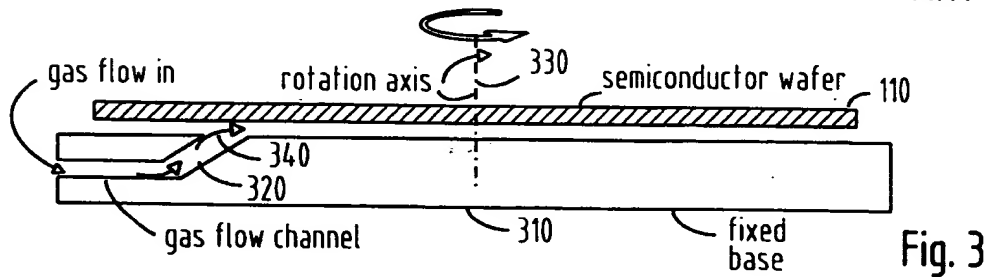
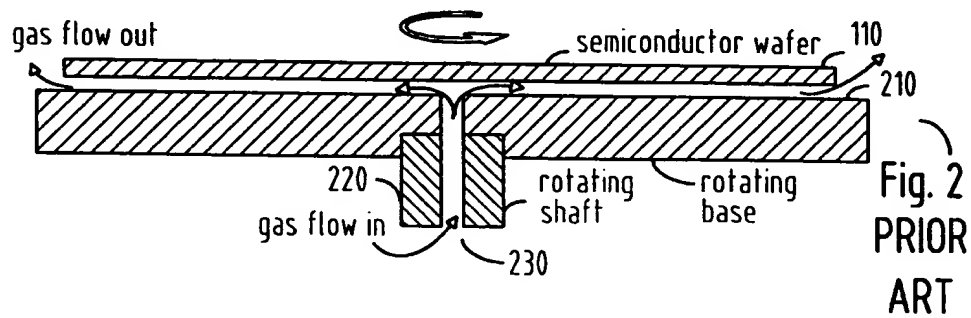
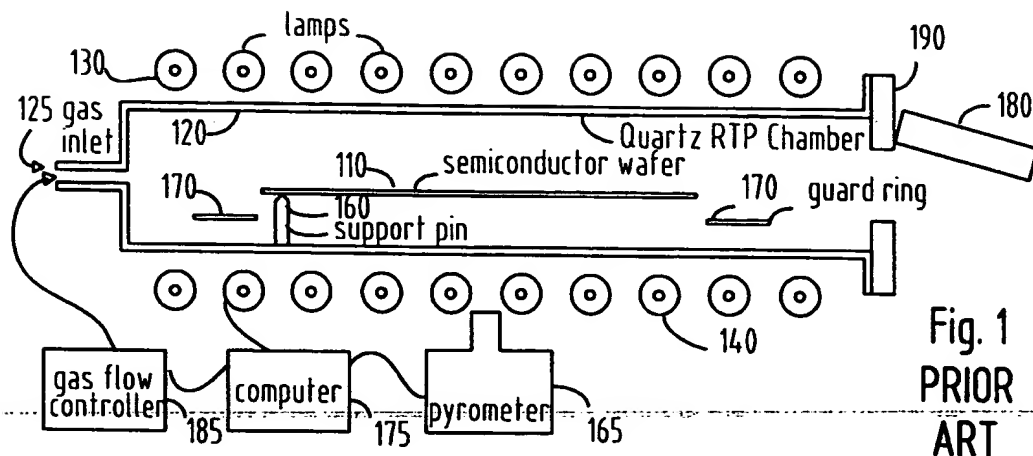
15 gas supply means for supplying gas for the gas flow and for the RTP chamber;  
and control means for controlling the radiation source and the gas supply means.

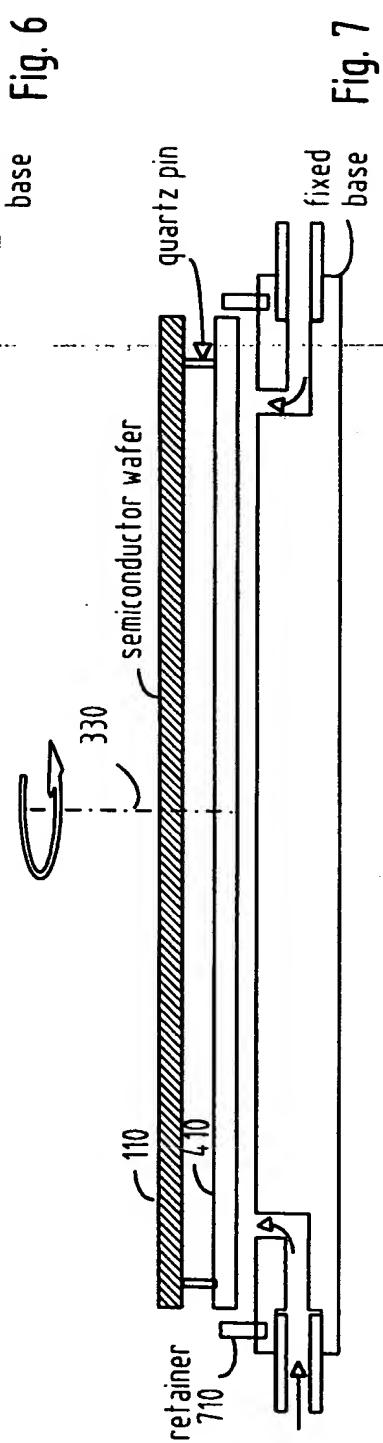
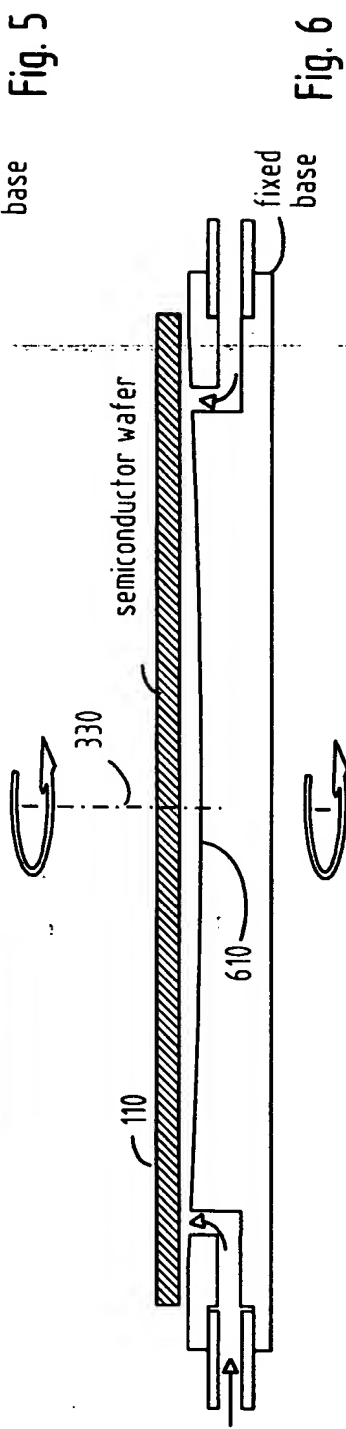
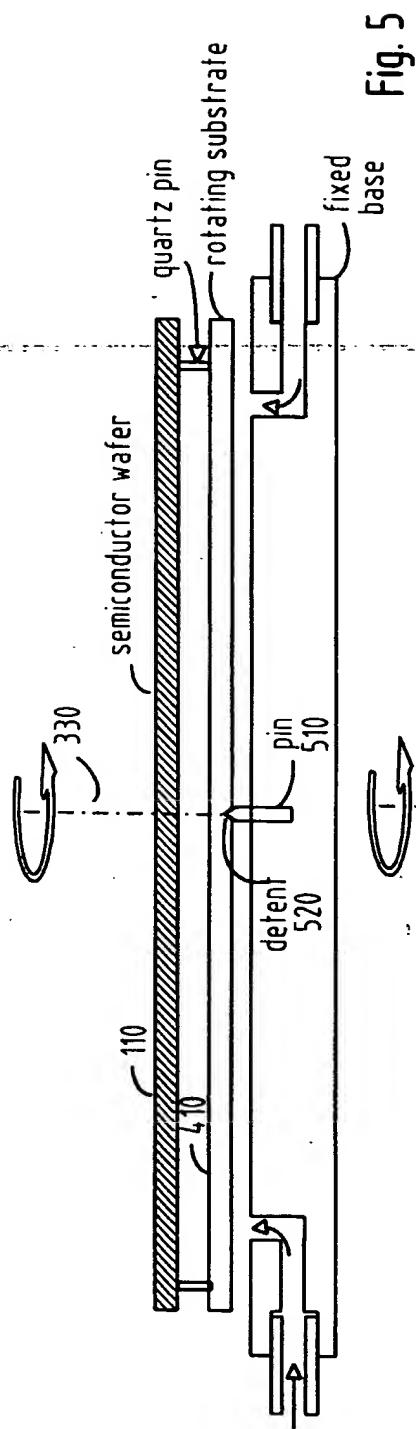
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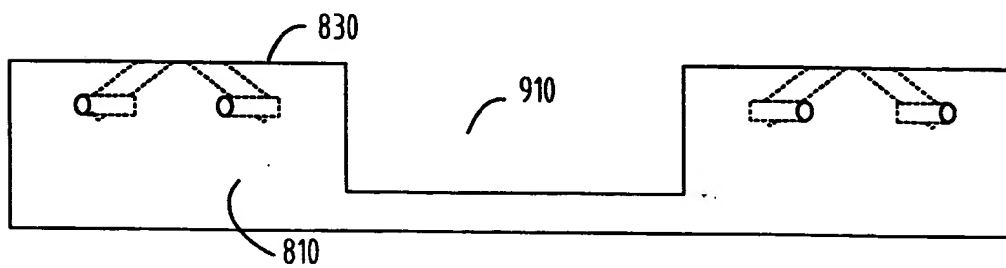
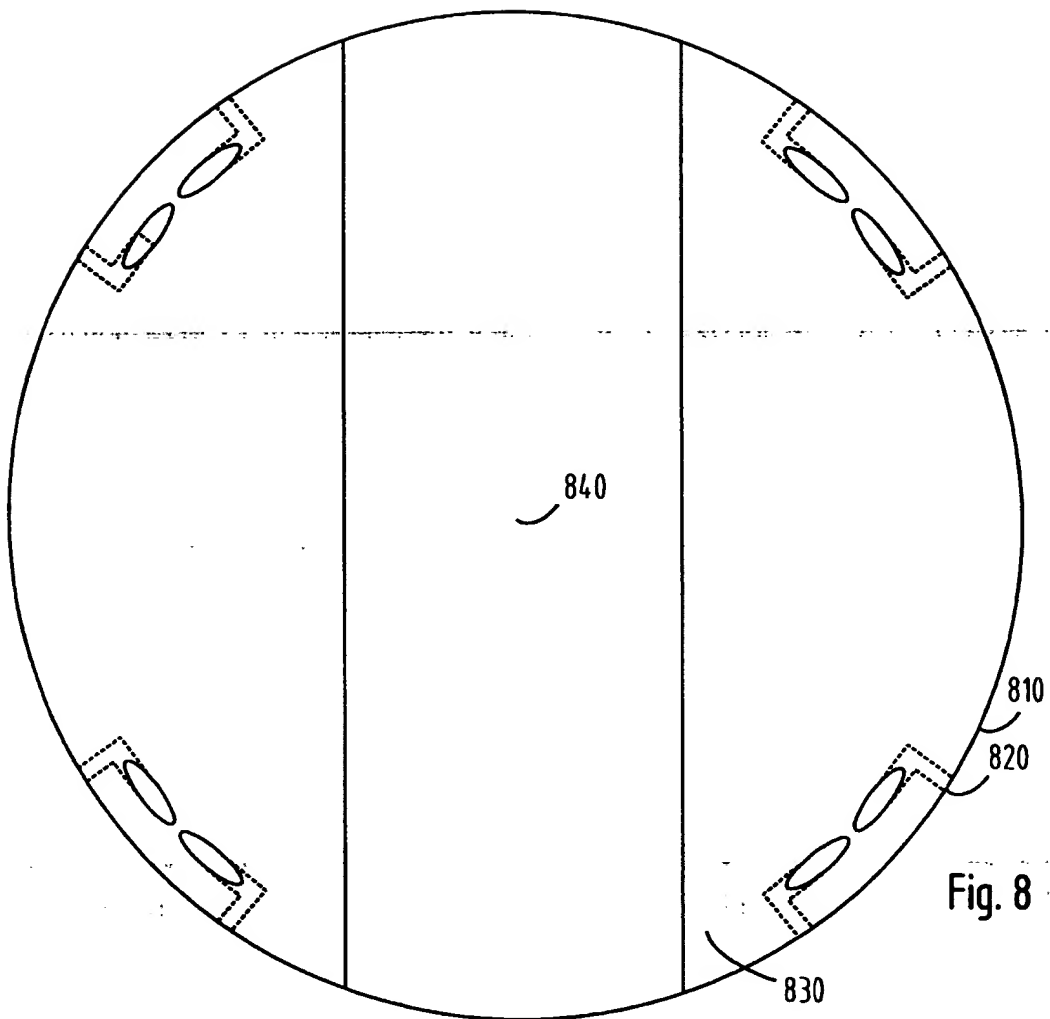
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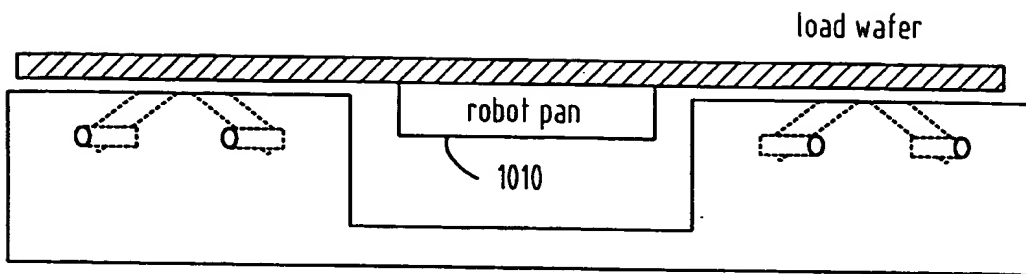


Fig. 10

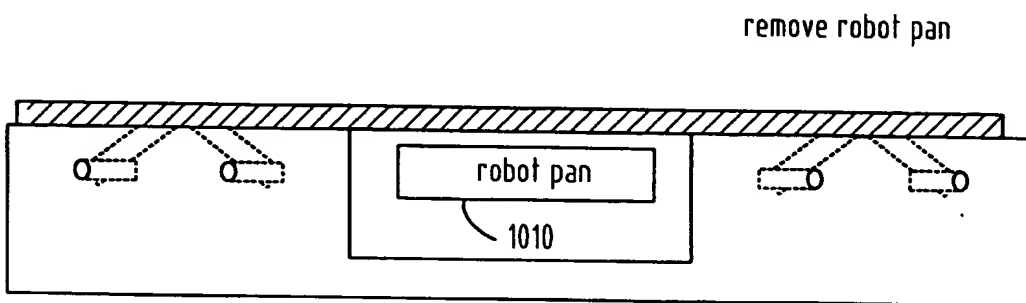


Fig. 11

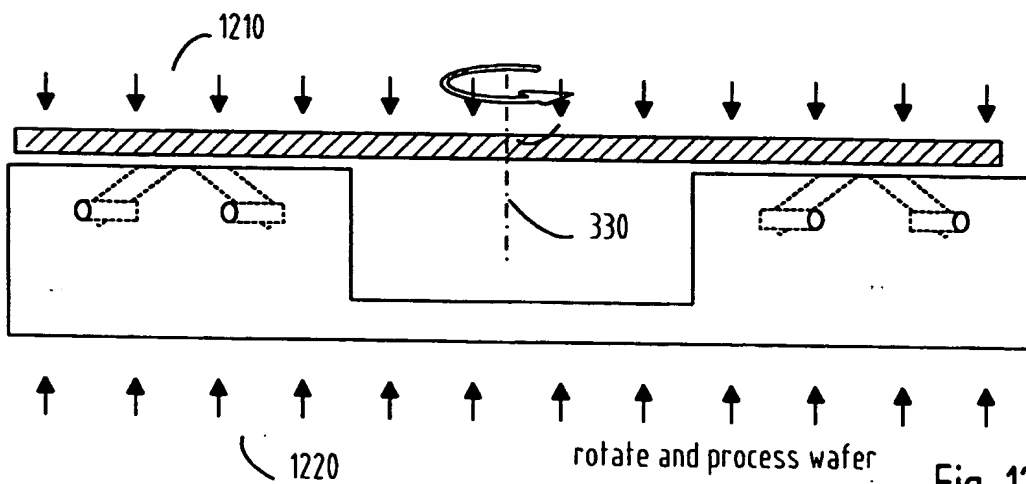
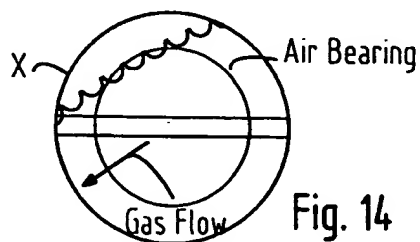
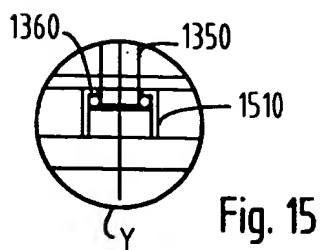
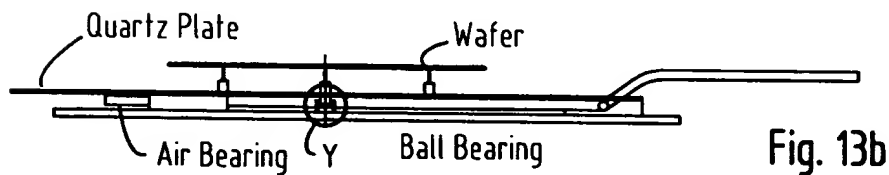
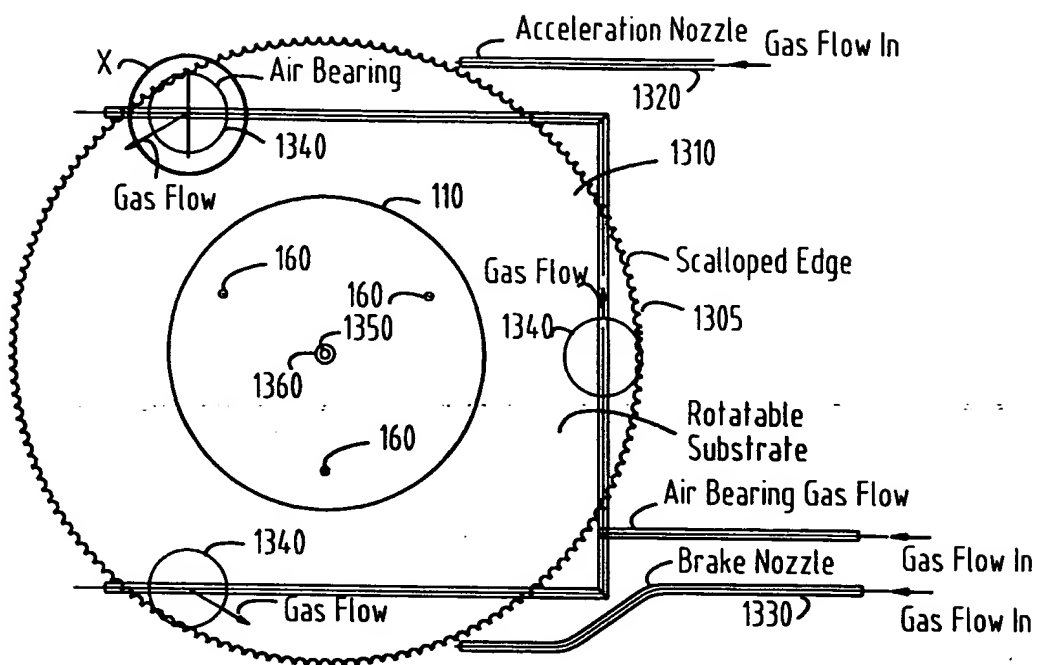


Fig. 12



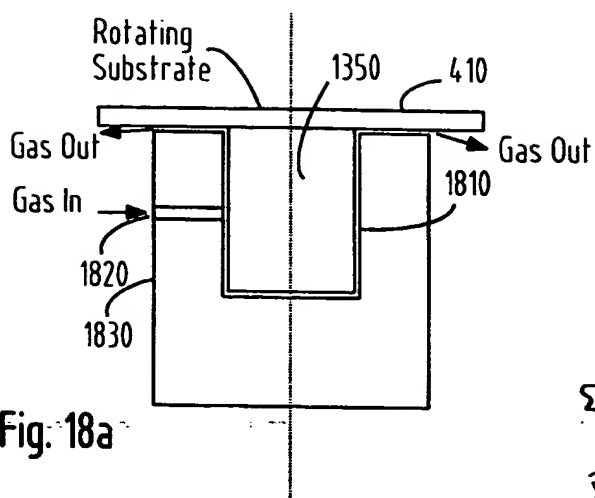


Fig. 18a

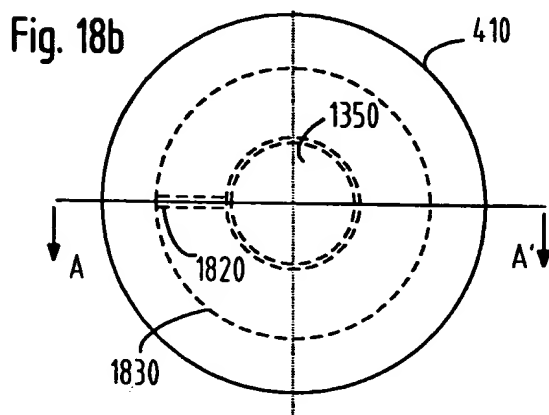


Fig. 18b

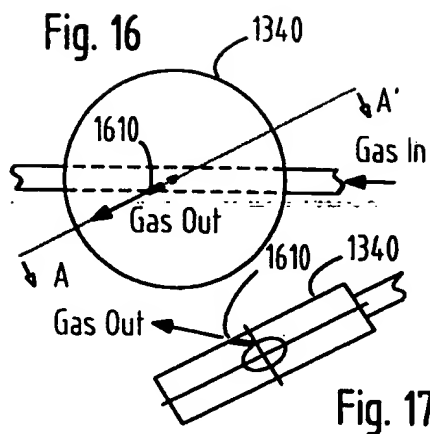


Fig. 16

Fig. 17

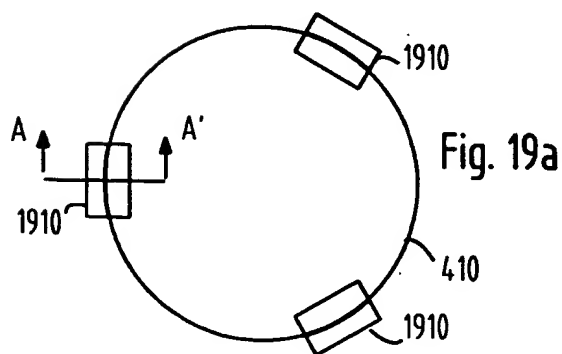


Fig. 19a

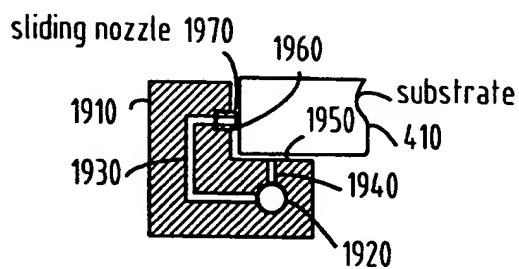


Fig. 19b

# INTERNATIONAL SEARCH REPORT

In tional Application No

PCT/EP 98/07402

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 H01L21/00 H01L21/324 C30B25/10 C30B25/12

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C23C H01L C30B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 860 687 A (FRIJLINK PETER M) 29 August 1989	1,2,6,7, 9,10, 12-17, 19,20, 23-26 34
Y	see abstract see column 1, line 43 - column 2, line 2 see column 3, line 7 - line 16 see column 7, line 60 - line 63	
Y	US 5 444 217 A (NISHIKAWA KATSUHI TO ET AL) 22 August 1995 see abstract; figure 2A -/-	34

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

15 February 1999

Date of mailing of the international search report

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# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/EP 98/07402

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DE 36 08 783 A (TELEFUNKEN ELECTRONIC GMBH) 17 September 1987  see abstract; claims 1,2 see column 3, line 7 - line 31; figure 1	1,2,6,7, 9,10, 12-17, 19,20, 24-26
X	PATENT ABSTRACTS OF JAPAN vol. 016, no. 188 (E-1198), 7 May 1992 -& JP 04 025122 A (FUJITSU LTD), 28 January 1992 see abstract; figures 1A,1B,2	1-7, 19-22, 24-26, 28,29,31
X	PATENT ABSTRACTS OF JAPAN vol. 016, no. 336 (E-1237), 21 July 1992 -& JP 04 100223 A (FUJITSU LTD), 2 April 1992 see abstract; figures 1A,1B,2	1-7, 19-22, 24-26, 28,29,31
X	US 5 226 383 A (BHAT RAJARAM) 13 July 1993  see abstract; figure 1 see column 1, line 65 - column 2, line 11 see column 3, line 60 - column 4, line 2	1,2,6,7, 9,10, 12-17, 19,20, 24-26
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A	US 5 643 366 A (SOMEKH SASSON R ET AL) 1 July 1997 see figure 1	8,27
A	FRIJLINK P M: "A NEW VERSATILE, LARGE SIZE MOVPE REACTOR" JOURNAL OF CRYSTAL GROWTH, vol. 93, no. 1 - 4, November 1988, pages 207-215, XP000034974	

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